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<b>(21) International Application Number:</b> PCT/US96/19450 <b>(22) International Filing Date:</b> 9 December 1996 (09.12.96)  <b>(30) Priority Data:</b> 08/568,462                      7 December 1995 (07.12.95)                      US  <b>(71) Applicant:</b> LIFE RESUSCITATION TECHNOLOGIES, INC. [US/US]; 1510 West Montana Street, Chicago, IL 60614-2013 (US).  <b>(72) Inventors:</b> FEDEROWICZ, Michael, G.; 10743 Civic Center Drive, Rancho Cucamonga, CA 91730 (US). FAHY, Gregory, M.; 20220 Gentle Way, Gaithersburg, MD 20879 (US). WOOD, Lawrence, E.; 10743 Civic Center Drive, Rancho Cucamonga, CA 91730 (US).  <b>(74) Agents:</b> OLIFF, James, A. et al.; Oliff & Berridge, P.O. Box 19928, Alexandria, VA 22320 (US).		<b>(81) Designated States:</b> AU, CA, CN, IL, JP, KR, SG, European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).  <b>Published</b> <i>With international search report.</i>
<b>(54) Title:</b> TREATMENT OR PREVENTION OF ANOXIC OR ISCHEMIC BRAIN INJURY WITH MELATONIN-CONTAINING COMPOSITIONS  <b>(57) Abstract</b>  A method for treating or preventing anoxic or ischemic brain injury that includes administering melatonin to a person who is suffering from or has a high risk of suffering from an anoxic or ischemic insult or injury. The method may also include administering complementary agents.		

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**TREATMENT OR PREVENTION OF ANOXIC OR ISCHEMIC  
BRAIN INJURY WITH MELATONIN-CONTAINING COMPOSITIONS**

FIELD OF THE INVENTION

5       The present invention relates generally to treating  
or preventing ischemic and anoxic brain injuries,  
particularly those associated with normothermic cardiac  
arrest. More particularly, the present invention provides  
a composition and method for resuscitation of the brain  
and maintenance of viability during or after trauma or  
10       other periods of decreased blood flow, allowing the health  
professional extra time to restore blood circulation and  
body functions.

BACKGROUND

15       Hundreds of thousands of people suffer from sudden  
cardiac arrest each year in the United States alone.  
During cardiac arrest, the heart ceases to pump blood.  
Subsequently, there is no circulation, and the brain fails  
to receive freshly oxygenated blood. Without a steady  
supply of oxygenated blood, the brain will cease to  
20       function.

Current resuscitation techniques for cardiac arrest  
victims have been directed almost exclusively towards the  
heart. However, even with methods such as cardiopulmonary  
resuscitation (CPR), patient survival rates are low. In  
25       hospitals and clinics with advanced CPR and advanced life  
support (ALS) systems, the survival rate is normally  
around 14%. Outside of hospital settings, the survival  
rate is about 5%. Among cardiac arrest victims overall,  
less than 10% survive neurologically intact and without  
30       significant brain damage. The other approximately 90%  
either die or sustain some neurologic injury from ischemia  
(i.e., lack of blood flow to the brain), or anoxia (i.e.,  
lack of oxygen to the brain).

Such frequency of neurologic injury occurs in part  
35       because after cardiac arrest, basic cardiopulmonary  
resuscitation and advanced life support techniques, such  
as closed chest cardiac massage and electrical  
defibrillation, typically require fifteen to twenty

minutes to restore circulation from a failed heart. Reversible neurologic damage begins as early as four minutes after circulation stops, and normally irreversible neurologic damage begins as early as five minutes after circulation stops. Countless research projects, patents, drugs, and clinical trials have been devoted to extending the reversible period of cardiac arrest in humans, but the long-established limit of five minutes of cardiac standstill remains unchanged. To combat this neurologic injury, initial resuscitation efforts need to be directed toward reviving the brain, not just to resuscitating the heart.

The short viability of brain tissue upon deprivation of oxygenated blood is a result of the requirement of high amounts of nutrients for tissue maintenance. Brain tissue uses almost all of the nutrients supplied by the circulating blood for maintenance and has very little remaining for storage. Absent blood flow to the brain, the small amount of stored nutrients is rapidly exhausted. Once exhausted, brain oxygen content rapidly depletes. This oxygen depletion is traumatic and causes a series of reactions in the oxygen-starved brain tissue cells. When blood flow is restored, the newly-delivered oxygen paradoxically produces a burst of oxidative damage (oxygen paradox), and calcium carried by the blood leaks into blood vessel smooth muscle cells, causing vasoconstriction (part of the reason for the so-called "no-reflow phenomenon"), and also leaks into brain cells, triggering a biochemical cascade culminating in further vascular obstruction and cell death. Certain neurotransmitters, particularly glutamate, are also released in excessive quantities. This results in damage from overstimulation, mediated largely by neurotransmitter-induced transport of calcium into neurons. This damage resulting from excessive release of excitatory neurotransmitters has been termed "excitotoxicity."

Prior efforts at resuscitating the brain have involved free radical inhibitors (Lazaroids), calcium

channel blockers (lidoflazine, verapamil, etc.), barbiturate-induced comas, glutamate receptor blockade and a large assortment of other miscellaneous methods. The ultimate result of all of these pharmacological approaches, however, has been failure. Initially promising results have proven to be unreproducible, and results obtained in small animals (rats, hamsters, mice and gerbils) have proven to be inapplicable to dogs or humans. Recently, Lazaroids were shown to increase rather than decrease the mortality rate of human cardiac arrest survivors.

It has been learned that the treatment of stroke and the treatment of global cerebral ischemia are not the same. Furthermore, the treatment of cerebral hemorrhage is distinct from the treatment of global cerebral ischemia. Thus, agents such as streptokinase, iron chelators and superoxide dismutase are relatively ineffective for global cerebral ischemia. Resuscitation 19:25-40, 1990.

Safar et al. disclose several non-pharmacological variables that appear to be critical for the resuscitation of canine subjects after normothermic cardiac arrest of up to 11 minutes. (See Safar et al., "Improved Cerebral Resuscitation from Cardiac Arrest in Dogs with Mild Hypothermia plus Blood Flow Promotion", in press.) These variables are: a) systemic hypothermia to 34°C induced as soon as possible after the onset of resuscitation and maintained for 12 hours thereafter; b) hemodilution to reduce blood viscosity to enhance cerebral tissue perfusion; and c) prompt restoration of mean arterial pressure (MAP) to superphysiological levels, e.g., an immediate brief bout to about 200 mm Hg upon the onset of resuscitation followed by a pressure of approximately 140 mm Hg for at least 4 hours thereafter..

Factors b) and c) above are referred to as "flow promotion" modalities and have been shown to preclude the otherwise inexorable "no reflow phenomenon" (see Leonov et al., Stroke 23:45-53, 1992). The "no reflow

phenomenon" is a phenomenon whereby cerebral perfusion deteriorates after about 30 minutes or so of attempted resuscitation.

5 The results in Safar et al. depend, as noted, on being able to create a hypertensive MAP immediately upon the onset of resuscitation and to sustain a high MAP for several hours thereafter. For example, when mild hypothermia was combined with flow promotion, the neurological deficit score (NDS) and the histological damage score (HDS) were 8±9% and 43±9% (HDS range, 32-10 56), respectively. In comparison, when flow promotion was omitted, NDS soared to 27±19%, and HDS rose to 81±13% (HDS range, 70-104). Crit. Care Med. 21: 1348-1358, 1993.

15 Unfortunately, it is far from clear that hypertensive reflow conditions will always be feasible in a person who has experienced a spontaneous cardiac arrest. A heart attack usually occurs due to some underlying insult to the heart, such as an infarct or vasospasm related to atherosclerosis. In aged populations, which are the 20 populations most at risk of cardiac arrest, additional conditions such as congestive heart failure, etc., may coexist with the condition that led to cardiac arrest. Furthermore, soldiers who suffer cardiac arrest in battlefield conditions frequently do so because of 25 excessive blood loss. Any of these or other insults existing prior to cardiac arrest may limit cardiac performance upon attempted resuscitation to the extent that MAP cannot be elevated to the requisite hypertensive range. In fact, even in presumably healthy dogs, 30 achieving hypertensive or even normotensive MAPs after restoration of spontaneous circulation (ROSC) (resumption of heartbeat) is exceptionally difficult. In our experience, heart-lung machines have great difficulty in delivering flows that are capable of elevating systemic pressure (MAP) even to normotensive levels, and provide 35 only minimal support of pressure once spontaneous circulation has been restored.

U.S. Patent No. 5,149,321 is directed to a method and a brain resuscitation device that can provide a temporary answer to this problem. The method includes establishing an artificial circulation by catheterizing the circulatory system in both external carotid arteries, to deliver essential treatment components to the brain in a synthetic brain resuscitation solution. By instilling perfusate directly into the carotid arteries, the brain resuscitation device allows sufficient flow to be directed to the brain to achieve the initial pressures and temperatures called for in Safar et al., regardless of cardiac condition, while also delivering hemodilution and cerebroprotective medications.

This approach provides a critical window of opportunity. However, hypertensive support would still have to follow use of the device to meet the guidelines of Safar et al. This could be not only be difficult to achieve, but also hazardous in that hypertension could lead to bursting of fragile blood vessels.

Thus, the ability to eliminate the need for hypertensive support would be desirable or even essential for widespread successful treatment of prolonged cardiac arrest. Clearly, a resuscitation technology that is fully effective despite sustained normotensive or even mildly hypotensive MAPs post-ROSC would be of great value. Reliable means of accomplishing this goal in man or canines are not known.

An important agent used in the present invention is melatonin. Melatonin is used primarily to facilitate sleep and adjust for jet lag. Recently, it has become popular as a possible anti-aging substance involved in the so-called aging clock. It appears to regulate and integrate a variety of biological rhythms.

Melatonin was used in a tissue culture system involving cerebellar granule neurons. The experiment found that melatonin did not block glutamate-induced excitotoxicity. Exp. Neurol. 131: 39-46, 1995.

Further, melatonin has been shown recently to be a good antioxidant in non-cerebral tissues when given in massive doses, such as 4 mg/kg to 10 mg/kg. J. Pineal Res. 17: 94-100, 1994, and Life Sci. 56: 83-89, 1994. Melatonin has also been shown to penetrate the blood brain barrier rapidly. J. Pineal Res. 5: 437-53, 1988. However, melatonin has not previously been used as a cerebroprotective agent for patients or experimental animals following prolonged cardiac arrest.

Other antioxidants, such as dimethylthiourea, which is also a highly penetrative antioxidant, have not proven useful in the treatment of postischemic cerebral ischemia. In addition, the only putative antioxidant ever tried in human clinical trials, tirilazad, has proven ineffective. In general, despite intensive investigation, antioxidants have not been shown to reverse cognitive impairment in man or in dogs when given after a profound ischemic insult.

Another problem in cerebral resuscitation is the unpredictability of cardiac arrest. Many people experience cardiac arrest without warning. Most drugs found in animal experiments to be protective against ischemia when used as pretreatments do not work when given after rather than before the ischemic insult. Clearly, a therapy that can reverse brain damage when given only after an ischemic insult would be of great value.

#### SUMMARY OF THE INVENTION

It is therefore an object of this invention to provide treatment or prophylaxis of ischemic and anoxic brain injuries during or immediately after the period of cardiac arrest whereby resuscitation efforts can be applied after more extended times than in the prior art so as to allow a patient to survive neurologically intact.

It is also an object of the invention to provide a method of treatment or prophylaxis of ischemic and anoxic brain injuries upon cardiac arrest so as to avoid the "no reflow phenomenon," whereby cerebral perfusion deteriorates after a period of time of attempted resuscitation.



It is a further object of the invention to provide a method of treatment or prophylaxis of ischemic and anoxic brain injuries upon cardiac arrest without immediate hypertensive reflow conditions.

5 It is yet another object of the invention to prevent and reverse potential damage to the brain and associated neurologic tissue suffered as a result of ischemic injury due to such traumas as, for example, cardiac arrest, major trauma, suffocation, drowning, electrocution, blood loss,  
10 extreme hypotension, shock and poisoning from substances including carbon monoxide and cyanide.

These and other objects are achieved by the use of a novel cerebroprotective agent and useful adjunctive agents when given even after eleven or more minutes of  
15 normothermic cardiac arrest. In particular, the present invention is directed to a method of treatment or prophylaxis of ischemic and anoxic brain injuries by administering melatonin to a person suffering from an ischemic or anoxic insult. The present invention is also  
20 directed to a method of pretreating a person at high risk for suffering from an ischemic or anoxic insult. Further, the present invention is directed to compositions comprising melatonin that can be used in methods of the present invention. The present invention may also be used  
25 for veterinary purposes.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 shows the blood pressure of a canine subjected to 11 minutes of cardiac arrest followed by treatment with the protocol of Example I.

30 Figure 2A shows the blood pressure of a canine subjected to 11 min of cardiac arrest followed by treatment with the protocol of Example II.

Figure 2B shows the tympanic, rectal, and esophageal temperatures of a canine subjected to 11 min of cardiac  
35 arrest followed by treatment with the protocol of Example II.

Figures 3-6 show the results obtained in Example III.

DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

In an embodiment of the present invention, melatonin is delivered to a mammal such as a human, dog or horse suffering from an anoxic or ischemic injury. For the purposes of this application, a "mammal suffering from an ischemic or anoxic insult" includes a mammal that has had an ischemic or anoxic insult in the last thirty minutes even if blood and oxygen circulation has been subsequently restored.

For the purpose of this application, an "ischemic or anoxic insult" is a trauma that causes a lack of blood flow to the brain and/or a lack of oxygen to the brain. Ischemic or anoxic insults include, but are not limited to, cardiac arrest, stroke, sickle cell crisis, infarction, claudication, suffocation, drowning, electrocution, blood loss, extreme hypotension, shock and poisoning from substances including carbon monoxide and cyanide. The present invention is particularly directed to treating ischemic or anoxic states that arise from cardiac arrest.

In an embodiment of the present invention, the melatonin is administered during the ischemic or anoxic insult, during the restoration of circulation or reoxygenation, or immediately after circulation has been restored, either by ROSC or by, for example, cardiac bypass or use of the brain resuscitation device. Melatonin may be delivered by any means known to one of ordinary skill in the art including, but not limited to, oral, intramuscular, intraperitoneal, intravascular and subcutaneous administration.

In a preferred embodiment of the present invention, melatonin is delivered via an intravascular route to ensure that it reaches the brain as soon as possible after recirculation begins. More preferably, the melatonin is delivered intra-arterially, wherein one preferred intra-arterial route is via at least one carotid artery.

Melatonin is effective even when administered only in the immediate resuscitative period. However, in a

preferred embodiment of the present invention, the administration of melatonin is continued for at least four hours after circulation resumes. Administration of melatonin may also be continued for up to twelve to  
5 twenty-four or more hours after circulation resumes. The administration of melatonin during this period may be either continuous or periodic.

Melatonin may be delivered in any effective amount. In a preferred embodiment of the present invention,  
10 0.0001-5 mg of melatonin is delivered per kilogram of the patient in the immediate resuscitative period. In a more preferred embodiment of the present invention, 0.001-2 mg/kg melatonin is delivered. In an even more preferred  
15 embodiment, 0.1 to 2 mg/kg melatonin is delivered. If melatonin must be given intravenously rather than intra-arterially, these acute total doses may be adjusted moderately for clearance in the liver. See J. Clin. Endocrinol. Metab. 61: 1214-6, 1985. After the immediate  
20 resuscitative period, the maintenance dose of melatonin, given by, for example, slow IV drip, is preferably 0-0.1 mg/kg/hr.

Melatonin may be administered in any acceptable carrier. The carrier is selected based on the route of administration. Suitable carriers include, but are not  
25 limited to, water (e.g., for oral use prophylactically); saline (e.g., for slow IV drip for maintenance or for intramuscular, intraperitoneal, or subcutaneous use); aqueous perfusates (e.g., for acute use during initial  
30 reflow); emulsions including, for example, vitamin E micellized with suitable surfactants, high density lipoproteins or fish oil emulsions (e.g., for acute  
- intravascular delivery of higher doses or for intraperitoneal instillation to provide a long-lasting  
35 depot for slow release of melatonin over prolonged periods); dimethyl sulfoxide (DMSO), such as 50% DMSO containing 0.9% w/v sodium chloride (e.g., when high concentrations of melatonin must be given in small volumes  
by IV push); or perfluorocarbon, such as Perflubron®

(e.g., when hemodilution is not intended to reduce oxygen delivery to the brain).

Melatonin may be directly dissolved in an aqueous vehicle. Melatonin is soluble up to about 5 mM (1,162 mg/liter) in aqueous solution. J. Pineal Res. 16: 198-201, 1994. At this solubility, and with hemodilution to a hematocrit of about 25 from an initial value of 40, roughly 14 mg/kg patient can be administered. This dose is well above the dose that is required for practicing the invention as described herein.

Pure DMSO has been used to dissolve melatonin, but pure DMSO has a number of undesirable effects, including the ability to dissolve commercially-available plastics used in cardiopulmonary bypass circuits, which could be used as field units deployed to deliver perfusate intravascularly under emergency conditions.

Alternatively, melatonin may be dissolved in a suitable hydrophobic phase that is stably emulsified in an aqueous phase and can be diluted with the aqueous vehicle and remain suspended in solution. For example, the hydrophobic phase may be a micellized vitamin E emulsion, such as alpha d-tocopherol emulsion. One effective Vitamin E emulsion is a commercially-available product known as Vital-E and sold by Schering Plough (Kenilworth, N.J.). The mixture of melatonin and a suitable micellized form of vitamin E is referred to herein as "melan-E". In melan-E, the solubilizing medium is itself potentially protective.

In screening tests, it was shown that melan-E made with Vital-E is adequately tolerated, although Vital-E appeared to be an emetic and induced intestinal and bladder voiding and may be less desirable for use in a conscious patient. However, there is little or no increase in emesis or voiding with increasing melatonin dose in this vehicle. Circulating liver enzymes were minimally elevated.

An alternative vitamin E emulsion is commercially available that involves glycerol-ricinoleate as the

emulsifying agent (a product known as Mycelized Vitamin E and sold by Metagenics, San Clemente, Ca.). This translucent emulsion did not produce emesis or voiding when used to solubilize and deliver 50 mg of melatonin. However, this alternative emulsion contains vitamin E as the acetate or succinate forms, which lack immediate vitamin E activity. The most preferred form of melan-E, consisting of alpha d-tocopherol emulsified with a non-emetic, non-laxative agent such as glycerol-ricinoleate, is not commercially available but can be easily prepared. Further, micellized preparations of other lipid-soluble antioxidants, such as coenzyme Q<sub>10</sub> dissolved in cardiolipin or in Perflubron® may be used in place of vitamin E.

Melatonin may be administered alone (either with a suitable carrier or, for oral prophylactic use, for example, as a pure powder) or in combination with additional protective agents. For example, melatonin may be delivered with one or more of the additional agents as an intravascular rescue solution or perfusate. Alternatively, the additional agents may be administered individually either in combination with the use of an intravascular rescue solution or in the absence of any unified intravascular rescue solution.

Preferably, the melatonin is delivered primarily in a perfusate in the acute resuscitation period. Perfusion allows for protective agents to be introduced prior to the termination of hypoxia and prior to normal blood reflow and thereby allows for protection before the onset of free radical, inflammatory, and excitotoxic processes triggered by reintroduction of oxygen and calcium, and allows for the removal of trapped cerebral blood with a low-viscosity, red cell-disaggregating perfusate. Further, in a preferred embodiment of the present invention, the perfusate has a lower temperature than blood. Thus, perfusion allows for rapid invasive cooling.

Preferably, the melatonin is administered with a hemodiluent. Suitable hemodiluents include those known to one of ordinary skill in the art, such as those taught in

Am. J. Emerg. Med. 8:55-67, 1990; Ann. Emerg. Med. 16:620-627, 1987; Ann. Emerg. Med. 14:389-396, 1985; Acta Neurochir. Suppl. (Vienna) 57:110-121, 1993; and Stroke 23:45-53, 1992, which are incorporated herein in their entirety by reference. A preferred class of hemodiluent is one containing Perflubron® perfluorocarbon (Alliance Pharmaceuticals, San Diego, CA), either oxygenated or deoxygenated.

In a preferred embodiment of the present invention, at least one of the following agents or a derivative thereof is administered to the brain in addition to melatonin: (1) kynurenine or kynurenic acid; (2) mannitol; and (3) dextran 40. Mannitol can be replaced with other impermeants such as sorbitol, raffinose, glucuronic acid, lactobionate, gluconate, or sucrose to control cell swelling. Kynurenine is equivalent in its effect to kynurenic acid, which is its active metabolite, and is interchangeable with kynurenic acid. Of the above agents, combining melatonin with kynurenine, kynurenic acid, or a derivative thereof is most preferred.

In a further embodiment of the present invention one or more of the following agents or derivatives thereof may also be administered with melatonin: a pH buffer, alpha-phenyl-N-tert-butyl nitron (PBN), gamma-hydroxybutyrate (GHB) and Fructose 1,6-bisphosphate (FbP). Suitable pH buffers include, but are not limited to, tris(hydroxymethyl)-aminomethane (THAM), n-[2-hydroxyethyl] piperazine-n'-[2-ethane sulfonic acid] (HEPES), histidine, phosphate, and piperazine-n,n'-bis[2-ethane sulfonic acid] (PIPES).

Preferably, the following amounts of the above agents per kilogram of the patient are used in the acute resuscitation period:

1. pH buffer: 0-5 mmol/kg, more preferably 0.1-2 mmol/kg, even more preferably 0.5-1 mmol/kg;

2. mannitol or its equivalent: 0-3.0 g/kg, more preferably 0.2-1.0 g/kg;

3. dextran 40: 0-5.0 g/kg, more preferably 2.0-3.0 g/kg;

4. PBN: 0-50 mg/kg, more preferably 1-20 mg/kg;

5. micellized vitamin E: 0-1000 IU/kg, more preferably 5-300 IU/kg;

6. kynurenine or kynurenic acid: 0-50 mg/kg, more preferably 1-20 mg/kg;

7. GHB: 0-1000 mg/kg, more preferably 0-400 mg/kg;

8. Fructose 1,6-bisphosphate (FbP): 0-1.0 g/kg, more preferably 0.05-0.2 g/kg.

Mannitol or its equivalent may be used to reverse cerebral swelling immediately upon initiating perfusion. THAM or another buffer may be used to reverse acidosis. Dextran 40 may be used in order to prevent or minimize red cell clumping.

PBN, kynurenine, and GHB may produce a hypotensive effect. Their doses can be titrated to minimize this effect to minimize the need for pressors and the likelihood of cardiovascular collapse. The combination of GHB and kynurenine is intended to block excitotoxicity and spare ATP. Kynurenine is a particularly strategic agent for blocking glutamate-mediated (both NMDA and kainate receptor specific) excitotoxicity. Exp. Neurol., 113:10-17, 1991; J. Cerebral Blood Flow Metab., 12:400-407, 1992. It is capable, in higher doses, of virtually abolishing cerebral electrical activity. GHB is an energy-sparing agent that induces 'natural sleep.' Its well-known convulsive properties would normally contraindicate its use for cerebral resuscitation, but the simultaneous use of kynurenine prevents these convulsive side effects, allowing the energy-sparing benefits of GHB to be realized without deleterious consequences.

Melatonin, alpha d-tocopherol and PBN all function as antioxidants. However, only very limited success has been reported in conjunction with the use of the latter two agents by others. However, in combination with melatonin they provide backup protection that is generally helpful. Melatonin has other functions that go beyond its role as

an antioxidant. It has an extraordinary ability to penetrate cells and organelles, it has receptors on the surfaces of many cells, it binds to DNA to protect it from damage, and it regulates most hormonal processes in the body. This integrative or orchestrative effect of melatonin may also be an important element of its beneficial actions.

In an embodiment of the present invention, a melatonin-containing perfusate is used to dilute the blood of a patient. Preferably, 30 to 100 ml of perfusate per kilogram of patient is used. More preferably, 40 to 80 ml of perfusate per kilogram of patient is used. In a preferred embodiment of the present invention, the perfusate dilutes the blood to a hemocrit of 25-35. In another embodiment of the present invention, the perfusate is used to replace blood. In this embodiment, the perfusate is administered by, for example, the apparatus disclosed in U.S. Patent No. 5,149,321, which is incorporated herein in its entirety by reference.

In a further embodiment of the present invention, the blood pressure of the mammal is hypotensive or normotensive for 1 to 3 hours or longer following resuscitation or for a substantial portion thereof.

When warning of the risk of an impending cardiac arrest is available, the application of the above therapeutic approach can be extended to the pre-arrest period. Melatonin may be administered for this purpose in doses totaling about 0.01 to 100 mg/day, preferably 0.1 to 50 mg/day, more preferably about 1 to 10 mg/day, for most adult human patients.

In addition, one or more of the optional agents described above may be administered with the melatonin prior to cardiac arrest. PBN and kynurenine or kynurenic acid may be administered in doses totalling about 0.1 to 20 mg or, more preferably, 1 to 20 mg, of either drug per patient per day. In addition, vitamin E (alpha d-tocopherol) at, for example, 50-800 IU/day, and/or coenzyme Q<sub>10</sub> at, for example, 30-300 mg/day may also be



administered. Melatonin, kynurenine, and PBN should each be administered at doses that do not impair normal mental or other function so that the patient's life can proceed normally despite protection against effects of a future heart attack or other anoxic or ischemic insult. Neither vitamin E nor coenzyme Q<sub>10</sub> produce any subjectively noticeable effects in the pretreatment dose ranges specified.

Pretreatment with melatonin may augment the effectiveness of post-ischemic treatment. In many instances, particularly since melatonin is entirely non-toxic when given via the oral route, pretreatment will be feasible. Examples include pretreatment of soldiers prior to combat, pretreatment of patients in intensive care units or surgery patients who are at risk for cardiac arrest and/or stroke, pretreatment of previous heart attack and/or stroke victims who are living at home and pretreatment of other individuals at high risk for anoxic or ischemic insult, such as those having an occurrence of atherosclerosis or vascular stenosis or those inheriting sickle cell trait or those having a physically hazardous occupation, such as prize fighters, race car drivers, or divers. A further example is pretreatment prior to elective deep whole-body hypothermia and total body washout for circulatory arrest for the correction of aneurysms or other defects, a procedure which imposes ischemia and potential hypoxia while washing most endogenous body stores of melatonin out of the body, thus heightening its vulnerability.

In a further embodiment of the present invention, the therapeutic approach of the invention may be used in the treatment of massive traumatic injury involving the need for immediate profound hypothermia to permit surgical repair of vascular wounds prior to attempting resuscitation. In this embodiment, the patient will be cooled with a perfusate intended to locally or globally completely replace blood for as long as several hours of deep hypothermic transport and/or hypothermic surgery.

Melatonin and optionally one or more of the agents described above, including PBN, kynurenine or kynurenic acid, FbP, and GHB, can be included in the hypothermic perfusate so that the agents will already be in the patient when resuscitation is attempted. As the perfusate is replaced with blood and the patient is rewarmed, administration of these medications is preferably continued so as to produce the same effect as described above for the acute cardiac arrest situation.

In a preferred embodiment of the present invention, the patient (assumed to be an adult) is pretreated, when feasible, with 3-6 mg of melatonin (for example, at bedtime), 120 mg of coenzyme Q<sub>10</sub> (for example, in the morning), and 3 doses (for example, in the morning, afternoon and evening) of 200 IU of vitamin E each. When cardiac arrest occurs, and if help does not arrive until at least 4 to 6 minutes have elapsed, the patient is immediately perfused intra-arterially with about 50 ml per kg patient of a perfusate that is precooled to 27-32°C and that contains, per liter, melatonin (30-40 mg), kynurenic acid (150-200 mg), THAM (15-25 mmoles), mannitol (10-15 grams), PBN (300-350 mg), dextran 40 (40-60 grams), micellized alpha d-tocopherol (150-250 IU), fructose 1,6-bisphosphate (0.5-1.5 grams), sodium chloride (6-10 grams), potassium chloride (3-6 mmoles), magnesium sulfate (2-5 mmoles), and heparin (500-2000 units) and whose pH has been set to 7.3 to 8.0. Effluent blood from the patient is allowed to displace the extracorporeal perfusate volume but is returned to the patient within 60 to 180 min of the beginning of resuscitation. Perfusate/blood mixture is recirculated to maintain blood pressure above 30 to 50 mmHg prior to ROSC and to cool the patient to 33.5 to 34.5°C and to initially maintain that temperature. GHB, 100-300 mg/kg, is given at 10 and 20 min after initiating perfusion. Perfusate/blood mixture is oxygenated starting at 0-2 min after the onset of perfusion. After ROSC (via electrical defibrillation or other means) pressors are used to bring MAP to 90±10 mmHg,

preferably by direct delivery of pressors to the heart rather than by intravenous (peripheral) administration. IV maintenance infusions of FbP (1-3 g/hr), melatonin (100-1000 micrograms/hr) and kynurenic acid (50-200 mg/hr) are begun. Temperature is maintained at  $34 \pm 0.5^\circ\text{C}$  for 12-15 hours, then warming is permitted to occur. If warming leads to seizure activity, the seizure activity is treated with kynurenic acid and the patient is re-cooled to  $34^\circ\text{C}$  for another 6-12 hours before rewarming is reattempted. This process is repeated until seizure activity is no longer observed on warming. Medical management in other respects should be according to the best prevailing established procedures.

The specific rescue protocol described above and in the examples below includes both the individual pharmaceutical agents used and the method by which they are used. The exact staging of agent introduction as described, however, is not mandatory. Embodiments of the present invention vary from rescue protocols that contain minor variations in the timing of agent administration described below to the inclusion of all agents in the initial cerebral resuscitation perfusate to the intravenous use of the rescue agents during ordinary CPR when direct invasive introduction is impossible.

In a preferred embodiment, the treatment is multifaceted, involving hypothermia, hemodilution with a supportive perfusate that is instilled directly into the vascular system, preferably intra-arterially, and the use of a composition described herein.

#### EXAMPLE I

A 24 kg canine subject was premedicated with agents used to prevent superfluous (gastric) damage, i.e., 30 cc of Maalox, cimetidine (150 mg, IM) and misoprostol (50 mcg per os) about 2 hours before being anesthetized. Preparation for anesthesia included administration of atropine (0.4 mg, IM) and acepromazine (IM, as a sedative) within 30 minutes before anesthesia. Anesthesia was accomplished with standard doses of sodium pentobarbital

IV, after which the animal was intubated and mechanically ventilated. 9.4 mg of metubine were administered to inhibit shivering.

5 The bypass circuit was primed with a solution consisting of 0.7 liter of a commercially-available IV solution (Normosol-R, pH 7.4) and 0.7 liter of commercially available 10% w/v dextran 40 in normal saline to which were added 20 grams (100 ml of a 20% w/v sterile IV solution) of mannitol, 500 milligrams of  
10 alpha-phenyl-N-tert-butyl nitron (PBN) (previously dissolved in 40 cc of normal saline), and 50 ml of 0.6 M tris(hydroxymethyl)-aminomethane (THAM). Thus, about 1.5 liters of this rescue solution were available and were used as an arterial perfusate to cool and hemodilute the  
15 dog upon initiation of bypass.

The solution was initially precooled to about room temperature prior to the onset of cardiac arrest so that direct invasive cooling could be initiated after precisely 11 minutes of arrest time. Precooling to this extent,  
20 however, was found to produce a considerable temperature undershoot (see below), and an initial temperature of 28-34°C is presently preferred when the target temperature of the subject is 33-34°C.

Cardiac arrest was instituted by applying 60 cycle, 110 V current via two long needles placed into the  
25 thoracic wall on either side of the heart and parallel to the thoracic wall. Cardiac arrest was verified by EKG and arterial blood pressure. Cardiac arrest was allowed to continue for 11 minutes. No treatment was administered to  
30 the animal during this time, and no attempt was made to cool the animal during this time. The resumption of spontaneous heartbeat was attempted approximately 1-2 min after initiation of cardiopulmonary bypass and was instituted by using a standard defibrillator.  
35 Defibrillation was accomplished in one attempt.

As soon as venous blood began reaching the bypass reservoir, 40 IU/kg of micellized alpha d-tocopherol (Vital-E, manufactured by Schering-Plough; 3.2 ml of the

300 IU/ml material) containing 10 mg of pre-dissolved melatonin diluted with normal saline to a final volume of 10 ml of melan-E were added to the reservoir, and an additional 40 ml of melan-E were similarly administered over the ensuing 7 minutes or so. The melan-E is diluted in order to produce a low enough viscosity to allow filter sterilization through a 0.22 micron syringe filter. The dog's temperature was brought to below 34°C within about 4 minutes. At 8, 11, and 13 min of resuscitation, 3.5 mg/kg (each in 20 cc normal saline) of kynurenine were added into the bypass reservoir, and at 10 and 20 minutes following the end of the 11 minute formal arrest time (defined as the time during which the MAP was at or below 30 mmHg), 3 grams (125 mg/kg) of gammahydroxybutyrate (GHB) were added in the same way.

Thus, the total doses administered per kilogram of the dog were approximately:

1. hemodiluent, 60 ml/kg;
2. pH buffer (THAM), 1.25 mmoles/kg
3. mannitol, 0.83 g/kg;
4. dextran 40, 2.9 g/kg;
5. PBN, 21 mg/kg;
6. micellized vitamin E, 200 IU/kg;
7. melatonin, 2.1 mg/kg;
8. kynurenine, 10.5 mg/kg; and
9. GHB, 250 mg/kg.

Hypothermia was maintained for approximately 13-15 hours after the onset of resuscitation. Metubine iodide was used to prevent shivering and uncontrolled ventilation during this time, after which body temperature was allowed to drift slowly upward.

The post-arrest MAP history is shown in Figure 1. In Example I, MAP remained below 90 mmHg for approximately 4 hours post-insult, and subsequently rose above Leonov's (Stroke 23:45-53, 1992) minimum 130 mmHg target value only momentarily on one occasion.

The time course of neurological recovery over the first 24 hours is also charted in Figure 1. As noted

above, the large body of experience accumulated in the Safar laboratory and elsewhere has shown that canine subjects exposed to 11 minutes of cardiac arrest and allowed to remain hypotensive to the extent shown in Figure 1 do not recover neurologically, but instead progress to a state of profound neurological impairment or permanent coma or death. However, in the present Example, neurological recovery appeared to be complete in the canine subject.

#### EXAMPLE II

The same protocol as in Example I was used on a second canine subject, except that kynurenine was given at 9, 12 and 15 minutes after starting resuscitation and GHB was given at 12 and 27 minutes following the end of the formal arrest period.

The post-arrest MAP history for this subject is shown in Figure 2A. In Example II, MAP remained below 90-100 mmHg for about an hour, then gradually rose to 130 mmHg after a total of 3 hours of blood reflow, at which point cardiovascular collapse occurred, taking the MAP to below 60 mmHg. Although the cardiovascular collapse was reversed with fructose 1,6-bisphosphate (first 1 gram, then 2 grams, both by IV push), the target pressure of 130 mmHg was finally achieved stably only after a total of over 3 hours of resuscitation.

The time course of neurological recovery for the second subject over the first 27 hours is also charted in Figure 2A. Although the canine subject was exposed to 11 minutes of cardiac arrest at 37.5°C or above and allowed to remain hypotensive to the extent shown in Figure 2A, neurological recovery appeared to be complete in the canine subject.

The thermal history of the second canine subject is shown in Figure 2B. Our temperature undershoot was similar to the undershoots observed by Safar's group both in magnitude and in duration. The agreement between rectal, esophageal, and left (L) and right (R) tympanic membrane temperatures was virtually exact for the first 6

hours, after which the tympanic membrane probes became loose and varied from each other to some extent.

### EXAMPLE III

Under isofluorane anesthesia, rats were subjected to vertebral artery ablation and then, the next day, were subjected to 10 minutes of carotid artery occlusion so as to eliminate all blood flow to the brain while preserving circulation elsewhere in the body (4-vessel occlusion model). Before, during, and after cerebral ischemia, cerebral blood flow was measured by a laser doppler flow probe mounted above a burr hole drilled through the skull to the dura. Similarly, brain (CA1 hippocampal region) temperature was measured by slowly lowering a Physitemp thermister probe into the brain via a second burr hole using a stereotaxic apparatus. Two screws attached to the back of but not penetrating the skull allowed continuous recording of EEG. During global cerebral ischemia, brain temperature was kept normothermic using the heat of two microscope lights mounted above the head as well as a heating pad, since brain temperature normally drops rapidly in the rat after cessation of cerebral blood flow.

There were four experimental groups: I) normothermic sham operated (tail stick only) controls, normothermia being enforced for two hours after the insult; II) normothermic saline infusion (hemodilution controls); III) hypothermic saline infusion plus external cooling to enforce a body temperature of about 34°C for two hours (hypothermic hemodilution controls); and IV) hypothermic rescue solution infusion. Post-insult temperature was controlled under anesthesia for 2 hours because this is the maximum time the rats could be kept alive under anesthesia without the need for blood gas measurements. In groups III and IV, the infusate itself was hypothermic, and temperature was further maintained using water circulation through a pad under the animal.

The animals' recovery was assessed in double-blinded fashion. Testing involved gross motor skill function (foot fault test, righting reflex, and the screen tilt

test) at 1, 2, and 3 weeks postoperatively. Additional evaluation was based on the results of a radial arm maze test and on the survival rate. Maze testing involved 5 minutes per day of training for 5 days in an unbaited 6-arm radial maze and tested at weeks 1, 2, and 3 after the insult for working and reference memory over a 10 minute period using the same maze. At day 21, the rats were sacrificed and subjected to brain histopathological analysis.

The rats were given the following initial rescue solution at the dose of 1.44 ml/(400 grams of body weight), followed 30 minutes after the insult by a booster solution at the dose of 0.1-0.5 ml/rat. The rescue solution consisted of the following components per 100 ml:

49.7 ml Normosol-R  
 44 ml 10% w/v dextran 40 in 5% w/v dextrose  
 6.3 ml of 20% w/v mannitol  
 6.4 g TRIS HCl  
 2.4 g TRIS base  
 0.234 g PBN  
 7.5 mg melatonin  
 60 mg kynurenine

Ingredient	Amount/400g to equal dog dose/kg	Amt/400g given to the rats	% of the dog dose per kg
Mannitol	302mg	18	6
PBN	7.5mg	3.37	44.9
Melatonin	210 $\mu$ g	108 $\mu$ g	45.0
Kynurenic acid	1.92mg	0.86mg	44.8
THAM	(4.6mmol)	127mg	[not calc'd]

The formula for the booster solution (BS) is as follows:

Prepare 82 ml by dissolving 5.4 mg melatonin, 28.8 mg kynurenine, and 200 mg fructose 1,6-diphosphate in 60 ml of 10% dextran (in 5% glucose) and 22 ml of 20% w/v mannitol. This composition amounts to the following amounts per milliliter of BS:



	Ingredient	Amount
	melatonin	65.9 micrograms ( $\mu$ g)
	kynurenine	350 $\mu$ g
5	fructose diphos.	2.44 mg
	mannitol	53.7 mg.

10 This dosage regimen was intended to provide blood levels in the rat that were similar to blood levels in the dog. However, the rat has less blood per kilogram of body weight than does the dog, so the doses were lower on a per kg of body weight basis. Furthermore, the dose of mannitol was simply in error, being far less than intended. In addition, the dextran 40 was dissolved in glucose rather than in saline, also a serious error since 15 raising glucose levels after cerebral ischemia is known to exacerbate cerebral injury. Despite these problems, the rats receiving the rescue solution survived with less neurological deficit (based on the foot fault test) than rats in any other group, attesting to the great power of 20 the specific active ingredients, PBN, melatonin and kynurenine, and being consistent with the value of buffering.

The survival rates were:

25 Group I: 7/16 (44%); deaths at 24 (1), 40 (1), 48 (2), and 72 (1) hours (n) and at 5 days (1) and 10 days (1).  
Group II: 13/15 (87%); deaths at 72 hr (1) and 10 days (1)  
Group III: 14/14 (100%)  
30 Group IV: 13/13 (100%)

35 Figure 3 shows the temperature profile for the hippocampus region. It shows hippocampal temperature in the four groups, the two normothermic and the two hypothermic groups being pooled for this graph.

40 Figure 4 shows blood flow during global ischemia. It shows cessation of brain blood flow during vessel occlusion and restoration of blood flow thereafter. Reactive hyperemia (greater than normal blood flow) was reduced in all treatment groups, but was affected least by the rescue solution group. Subsequent sub-normal cerebral

blood flows beginning at about 10 minutes were exacerbated by all interventions, including the rescue solution group. However, substantial hypotension was observed in the latter group, and this was not corrected, so the ability of the rescue solution to overcome the "no reflow phenomenon" was not properly evaluated in these experiments. The hypotension could also be related to concentrating the rescue agents by a factor of 10 in comparison to the concentrations used in the canine study, and related also to the lack of systemic pressure support by hypervolemia and reduced hematocrit that was present in the canine model.

Figure 5 shows the results of a Foot Fault Test, which is used to assess gross motor function. Each bar represents the foot fault rate in each experimental group at 1, 2 and 3 weeks post-ischemia. It shows that the rescue solution group made the most rapid recovery of neurological function based on the foot fault test, having a much lower fault rate during the first post-ischemic week than the other groups, including the hypothermia-only group.

Figure 6 shows preliminary neuropathological histologic results on the hippocampal CA1 area, the most sensitive part of the brain. Groups 2, 3 and 4 as shown represent the same groups described above (group 1 was not evaluated). Two methods of analysis were used. In method 1, the scoring system was:

0	=	no necrotic neurons
1	=	few and scattered necrotic neurons
2	=	many necrotic neurons
3	=	mostly necrotic neurons
4	=	mostly necrotic neurons with glial cell proliferation.

In method 2, the scoring system was the same for scores of 0 to 3, but score 4 was replaced by scores of 3.5, 4, and 4.5 depending on the degree and pattern of glial cell proliferation and abnormal CA1 neuron cell shape. The assessment based on either method indicates that the rescue solution reduced neuronal necrosis and

abnormal glial cell proliferation, which is consistent with data from the foot fault test.

5       The foregoing embodiments are intended to illustrate and not limit the present invention. It will be apparent that various modifications can be made without departing from the spirit and scope of the invention as defined in the appended claims.

WHAT IS CLAIMED IS:

1. A method for treating or minimizing anoxic or ischemic brain injuries, comprising administering melatonin to a mammal suffering from an anoxic or ischemic insult.
2. The method of claim 1, wherein the mammal is a human.
3. The method of claim 1, wherein the melatonin is administered intravascularly.
4. The method of claim 3, wherein the melatonin is administered intra-arterially.
5. The method of claim 1, wherein the melatonin is administered within eleven minutes of the anoxic or ischemic insult.
6. The method of claim 1, wherein the anoxic or ischemic insult is caused by cardiac arrest.
7. The method of claim 1, wherein the melatonin is administered for a period of at least twelve hours.
8. The method of claim 1, wherein 0.0001 to 5 mg of melatonin is administered per kilogram of the mammal.
9. The method of claim 1, further comprising administering to said mammal at least one agent selected from the group consisting of a pH buffer, mannitol, dextran 40, PBN, micellized vitamin E, kynurenine, kynurenic acid, GHB, fructose 1,6-bisphosphate, coenzyme Q<sub>10</sub>, perfluorocarbon, cardiolipin, and derivatives thereof.
10. The method of claim 1, further comprising administering kynurenine or kynurenic acid or a derivative thereof to said mammal.
11. The method of claim 1, wherein the melatonin is administered in a perfusate.
12. The method of claim 11, wherein the perfusate dilutes the blood to a hematocrit of 25-35.
13. The method of claim 11, wherein the perfusate replaces the blood.
14. The method of claim 1, wherein blood pressure of the mammal is hypotensive or normotensive for at least one hour following resuscitation.

15. A composition for the treatment or prophylaxis of anoxic or ischemic brain injuries, comprising melatonin and at least one agent selected from the group consisting of mannitol, dextran 40, PBN, micellized vitamin E, kynurenine, kynurenic acid, GHB, fructose, 1,6-bisphosphate, coenzyme Q<sub>10</sub>, perfluorocarbon, cardiolipin, and derivatives thereof.

16. The composition of claim 15, wherein the melatonin is dissolved in micellized vitamin E.

17. The composition of claim 15, wherein the at least one agent is kynurenine, kynurenic acid, or a derivative thereof.

18. A method for preventing or minimizing permanent neurological damage resulting from anoxic or ischemic injury, comprising administering an effective amount of melatonin to a mammal at high risk of an anoxic or ischemic insult.

19. The method of claim 18, wherein the high risk results from an event selected from the group consisting of combat, surgery, occurrence of previous heart attack or stroke, occurrence of atherosclerosis or vascular stenosis, inheritance of sickle cell trait, or a physically hazardous occupation.

20. The method of claim 18, wherein the high risk results from a history of at least one of cardiac arrest or stroke.

21. A composition for treating ischemic or anoxic injury comprising melatonin, kynurenic acid or kynurenine, mannitol and dextran 40.

22. The composition of claim 21, further comprising a micellized vitamin E.

23. The composition of claim 22, further comprising GHB.

24. The composition of claim 23, further comprising a pH buffer.

25. The composition of claim 21, further comprising a perfluorocarbon or cardiolipin emulsion.

26. The composition of claim 22, further comprising a perfluorocarbon or cardiolipin emulsion.

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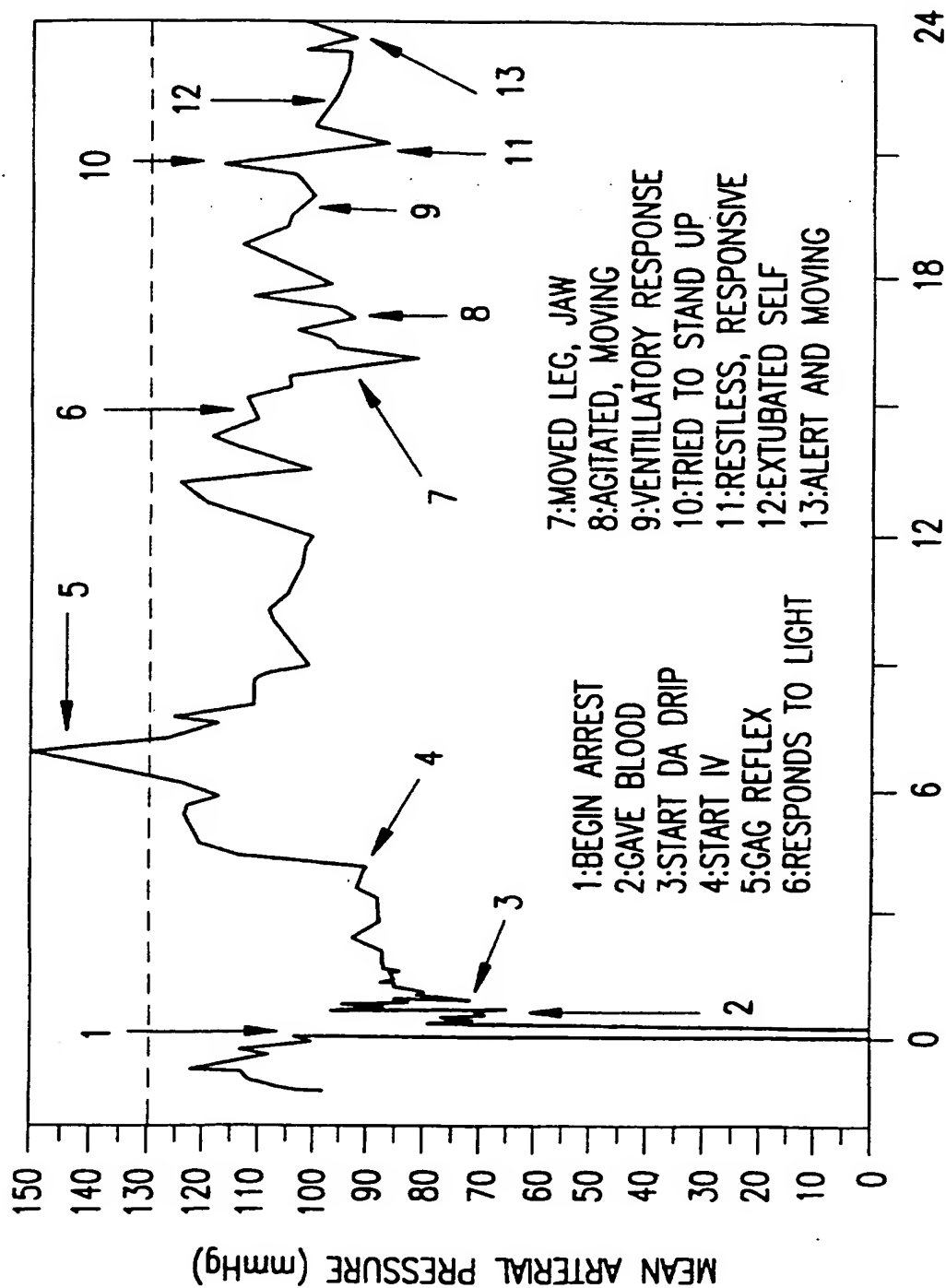


FIG.1

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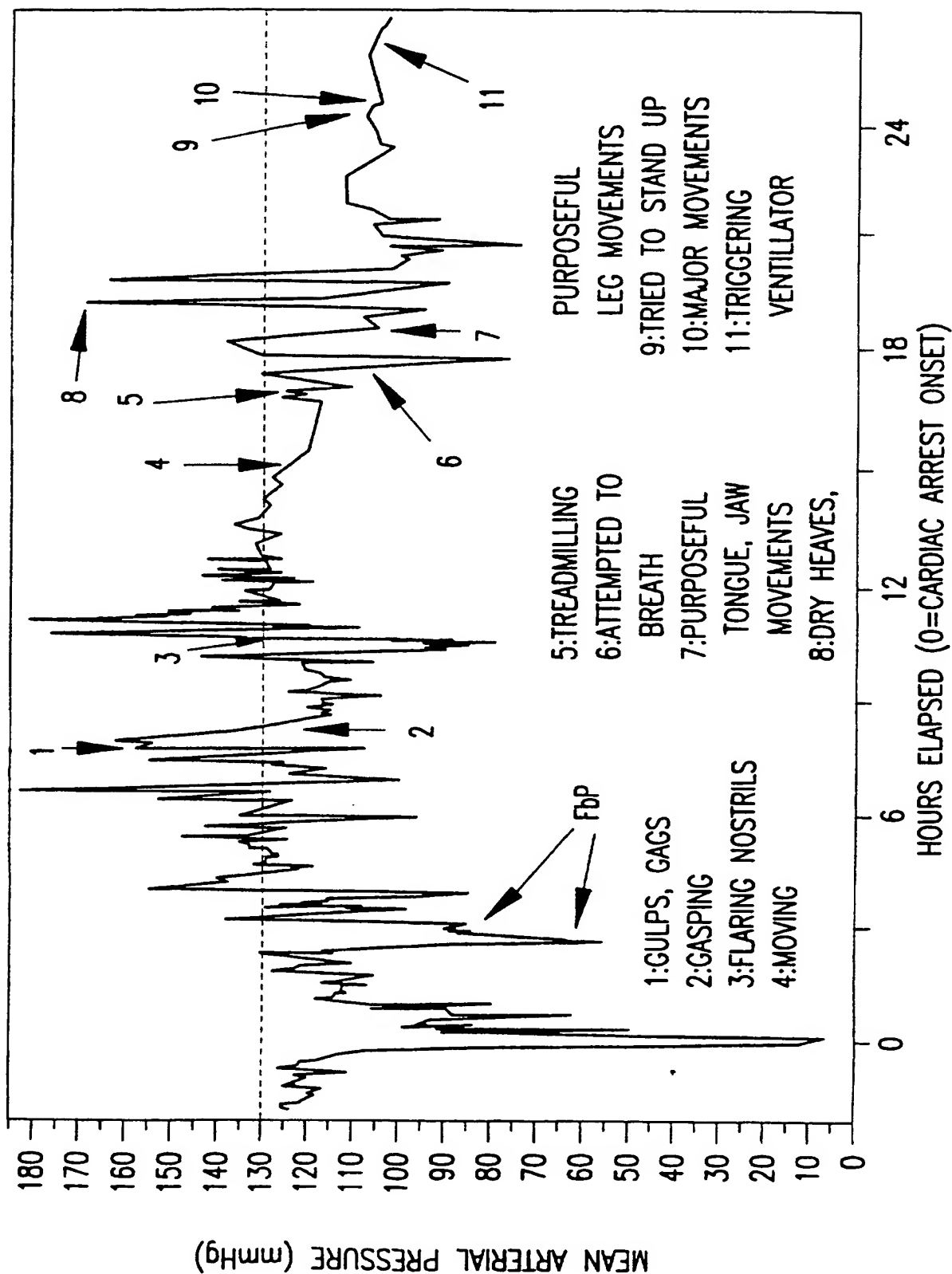


FIG.2A



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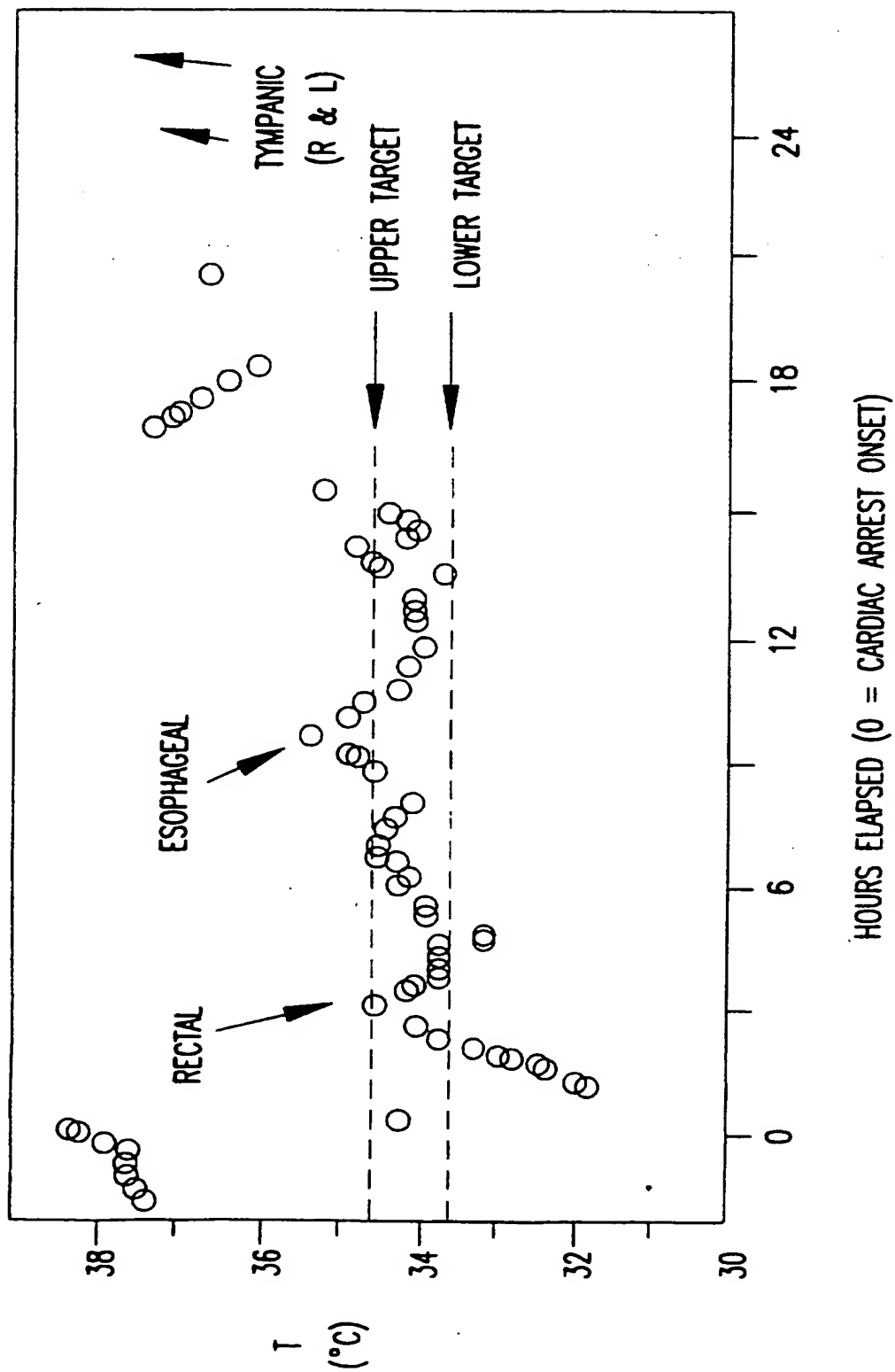


FIG.2B

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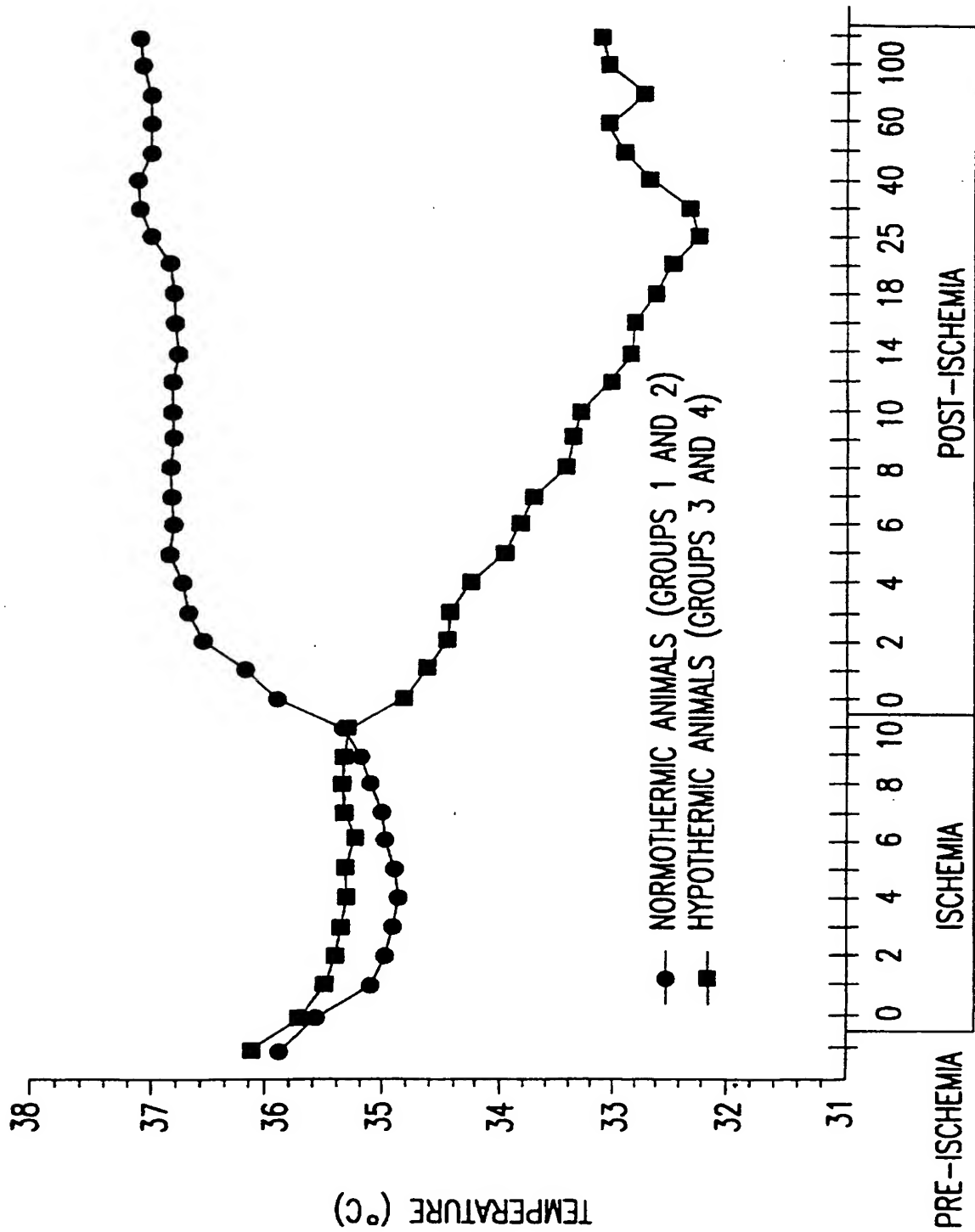
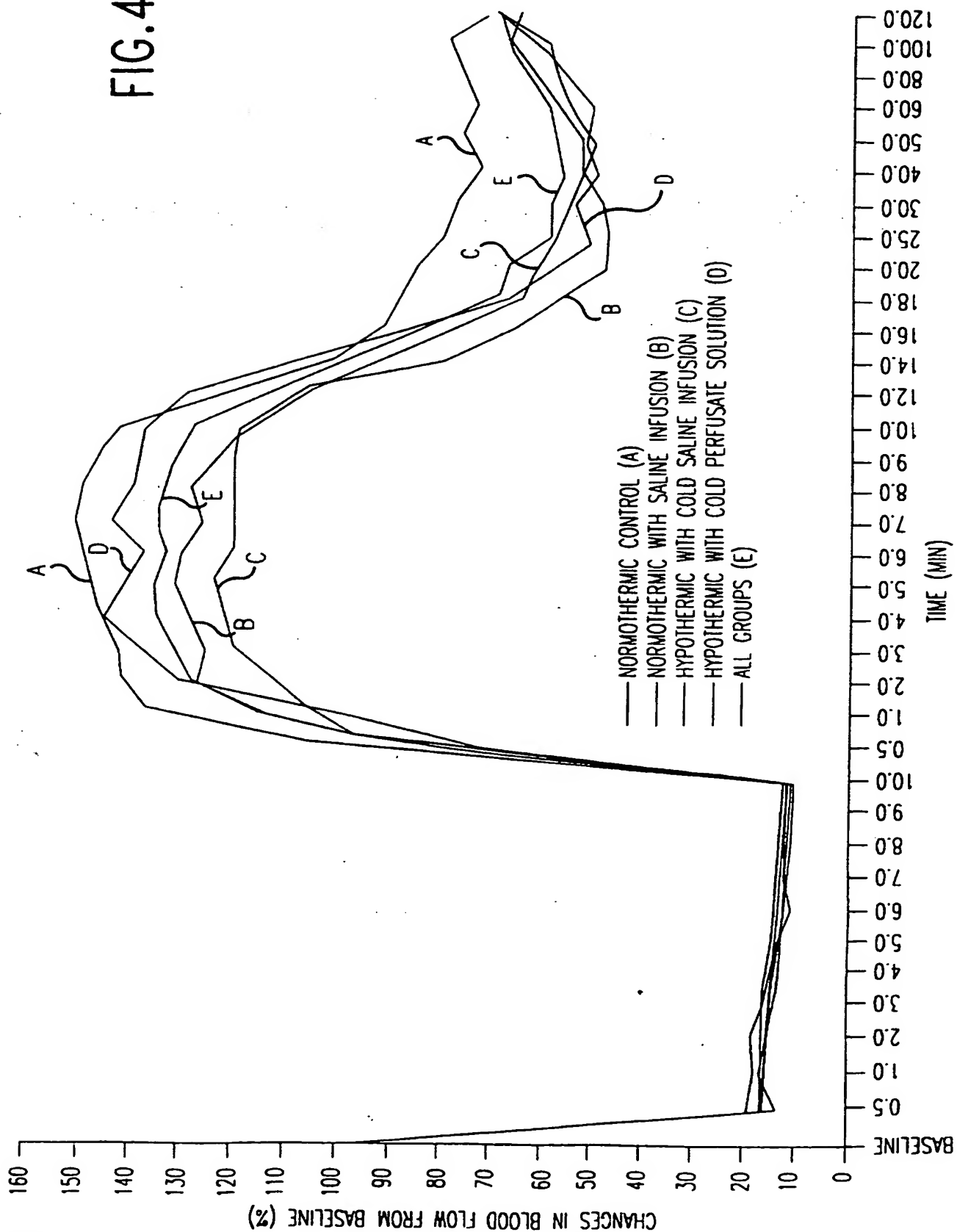


FIG.3

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FIG. 4



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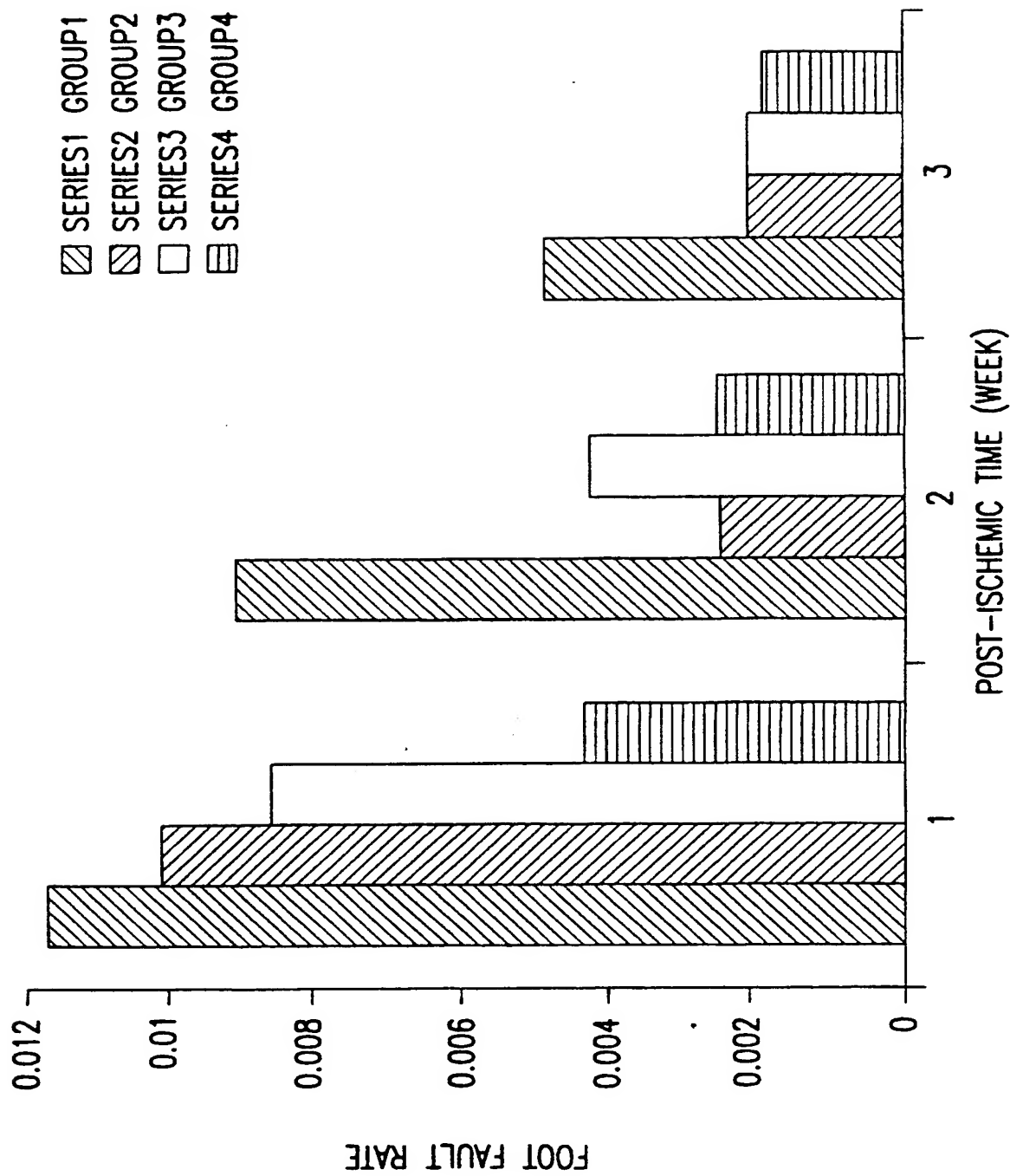


FIG.5

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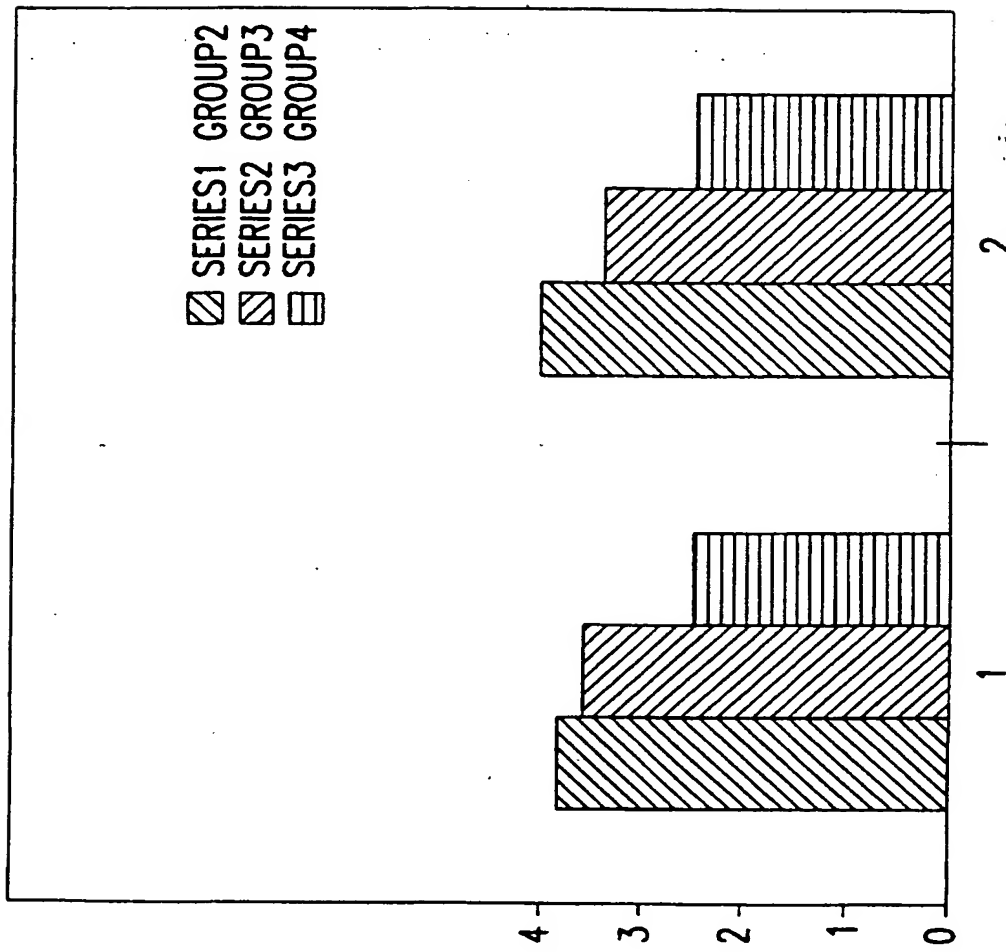


FIG.6

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US96/19450

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) : A61K 31/40, 31/405; C07D 209/14, 209/32

US CL : 514/418; 548/484

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 514/418; 548/484

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

MEDLINE, Chemical Abstracts, Biosis

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 4,654,361 A (SAMPLES ET AL.) 31 March 1987, column 3, lines 19-26.	15
X	US 4,687,763 A (WURTMAN) 18 August 1987, column 2, lines 20-25.	15-16

☐ Further documents are listed in the continuation of Box C.☐ See patent family annex.

* Special categories of cited documents:	*T*	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*A* document defining the general state of the art which is not considered to be of particular relevance	*X*	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
*E* earlier document published on or after the international filing date	*Y*	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
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*P* document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search

27 JANUARY 1997

Date of mailing of the international search report

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